

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
FILING COMPLETION UNDER 37 CFR 52, 53, and/or 85

In re Application of: Markus Gebhardt, et al.

Attention: Application Branch

Serial No.: 09/925,294 /

Date: November 27, 2001

Filed: August 9, 2001

Attorney Docket No.: ALF-66

Confirmation No.: 2677

Art Unit: Not Yet Assigned

Title: Level Limit Valve

Our Account No.: 04-1403

Commissioner for Patents
U.S. Patent and Trademark Office
Washington, DC 20231

Sir:

The following completes the filing of the above-identified patent application under Rule 52, 53, and/or 85:

- ☒ Rule 53 signed Declaration enclosed.
☐ This is a Rule 47 filing per attached Petition.
☐ Enclosed: Original signed Declaration with attached specification (including claims) which is a copy of specification/claims originally filed to secure above filing date.
☒ Specification originally filed in non-English language; hence verified translation attached of:
☒ Abstract ☒ 13 pages of Specification (only spec. & claims) ☐ 4 Drawing Figs.
☐ sheet(s) of formal drawings enclosed: size: ☐ A4 ☐ 14" ☐ 8½ by 11
☒ Attached is an assignment to Alfmeier Präzision AG, Baugruppen und Systemlösungen.
☒ Priority is hereby claimed under rule 55 and 35 U.S.C. 119 based on prior foreign application no. 100 40 310.7, filed in Germany on August 17, 2000, respectively.
Certified copy (copies) ☒ attached; ☐ already filed on _____ in U.S. Application, Serial No. _____, filed _____.
☐ Attached: _____ Verified Statement(s) establishing "small entity" status under Rules 9 & 27.
☒ Attached is the request return copy of the PTO Notice under Rule 52, 53, and/or 85.
☐ Attached: _____
☒ Preliminary Amendment: See attachment.

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED
PER MPEP § 506 AND § 607**

If not earlier paid, add Basic Filing Fee	\$	740.00
Total Effective Claims <u>8</u> - 20 = _____ x \$18.00 =	\$	
Independent Claims <u>1</u> - 3 = _____ x \$84.00 =	\$	
If any proper multiple dependent claim (ignore improper) is present, add \$280.00	\$	
Filing Fee	\$	740.00

Since PTO Notice under Rule 52, 53, and/or 85 set an original due date of n/a,
petition is hereby made for an extension to cover the date this response is filed for which the
requisite fee is enclosed (1 month \$110; 2 months \$400; 3 months \$920; 4 months \$1440).

If this is the first time either signed Declaration filed or filing fee paid, add Surcharge (\$130)	\$	130.00
Subtotal	\$	870.00
If "small entity" status box above is X'd, enter half (1/2) of subtotal & subtract	\$	
Total	\$	870.00
If "non-English" box above is X'd, add Rule 17(i) or (k) processing fee \$130.00	\$	130.00
If "assignment" box is X'd, add recording fee (\$40.00)	\$	40.00
If "Rule 47" box above is X-d, add Petition fee \$130.00 Rule 17(h).	\$	
TOTAL FEE ENCLOSED	\$	1,040.00

The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

ADDRESS:

Post Office Box 1449
Greenville, South Carolina 29602

Phone: 864-271-1592

Facsimile: 864-233-7342

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, Washington, DC 20231, on November 27, 2001.

Mim Voet

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

DORITY & MANNING

ATTORNEYS AT LAW, P.A.

By Atty: Jeffrey M. Karmilovich

Reg. No. 35,915

Signature:

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VERIFIED TRANSLATION OF PRIORITY DOCUMENT (37 CFR 1.55(A))

I, the below-named translator, hereby declare that:

My name and post office address are as stated below.

That I am knowledgeable in the English language, and in the German language of the patent application from which priority is claimed for this application;

The priority document is attached.

I hereby state that the attached translation of the priority document that I have prepared is accurate.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

The priority document attached is further identified as:

Title: ALF-66 "Level Limit Valve"

FULL NAME OF THE TRANSLATOR: MORGAN C. LARKIN

SIGNATURE OF THE TRANSLATOR: Morgan C. Larkin Date: September 5, 2001

POST OFFICE ADDRESS: 2445 MYRTLE AVENUE NE, SALEM, OR 97303

State of Oregon)
) ss.

County of Marion)

Sworn to and subscribed before me this 5 th day of September, 2001



Sharon E. Johnstone
Notary Public

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/925,294	08/09/2001	Markus Gebhardt	ALF-66

CONFIRMATION NO. 2677

22827
DORITY & MANNING, P.A.
POST OFFICE BOX 1449
GREENVILLE, SC 29602-1449

FORMALITIES LETTER



OC000000006804473

Date Mailed: 09/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(f) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 970.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

01/08/2002 HMARZ11 00000022 09925294

01 FC:101
02 FC:139
03 FC:105

740.00 DP
130.00 DP
130.00 DP

A copy of this notice **MUST** be returned with the reply.

A.T.
Customer Service Center

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